

FrontLine SIX x-clear Ltd

x-clear – Changes to Forms 002 and 002C

Changes to Forms 002 and 002C, and new guidelines

1.0 Overview

SIX x-clear Ltd (hereinafter referred to as “SIX x-clear”) has redesigned Forms 002 and 002C. The numbering of the forms as well as their purposes will be changed as follows:

New form		Old form	
Number	Name	Number	Name
002	Market coverage and netting preferences for clients	002C	Cross-Venue Settlement Netting Preferences for Clients
002C	Settlement Standing Instructions (SSI) notification form for CCP-centric trading platforms	002	Clearing and Settlement Standing Instructions (CSSI) notification form for CCP-Centric Trading Platforms

The old forms should no longer be used. Clearing members with existing set-ups with SIX x-clear do not need to take any action. SIX x-clear will pre-populate the new forms with the existing member set-ups and provide them to the clearing members for signature.

2.0 Effective date

The new forms are valid as of the publication date, which will be 11 January 2016.

3.0 Details and benefits for the clearing members

In order to minimize effort and reduce redundant processes, SIX x-clear has redesigned the forms completely from scratch. With the new Form 002, clearing members will only have to provide one single SSI form to SIX x-clear instead of providing the form for each trading platform separately.

In conjunction with this, SIX x-clear has also redesigned the form “Cross Venue Settlement Netting Preferences for Clients” and renamed it Form 002 “Market coverage and netting preferences for clients”. It provides an overview of all trading venues and settlement markets for which the clearing member receives services from SIX x-clear.

The new Form 002 represents the main form. Forms 002B (“*Member reporting applicable for all trading venues*”), 002C and 002D (“*SIX x-clear static data form – Crest*”) are annexes to Form 002. Form 002A (“*Market coverage overview*”) is for the clearing member’s information only.

Together with the forms, SIX x-clear will also publish guidelines on how the forms have to be completed. This guidelines as well as the forms are available on the website at www.six-securities-services.com > Clearing > Member Information > Forms.

For clearing members with existing set-ups, both forms will be pre-populated by SIX x-clear and provided to the members for signature. This service is a one-time initial process on the part of SIX x-clear. All changes to the form arising after the member has signed the documents have to follow the same procedure as today.

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4.0 Contact

For any further questions, please contact your Relationship Manager.

1. For SIX x-clear members on the SECOM platform

The contact details for members on the SECOM platform are mentioned in the list of SIX SIS contacts published under the following path: www.six-securities-services.com > Login > SIX SIS Private > Contacts > List of SIX SIS contacts

2. For SIX x-clear members on the CLARA platform

SIX x-clear members on the CLARA platform can contact the Relationship Management Nordics of SIX x-clear under the following path: www.six-securities-services.com > Clearing > Contacts > Relationship Management Nordics