SIX
Securities Services

The only FINMA-authorized trade repository for Switzerland.
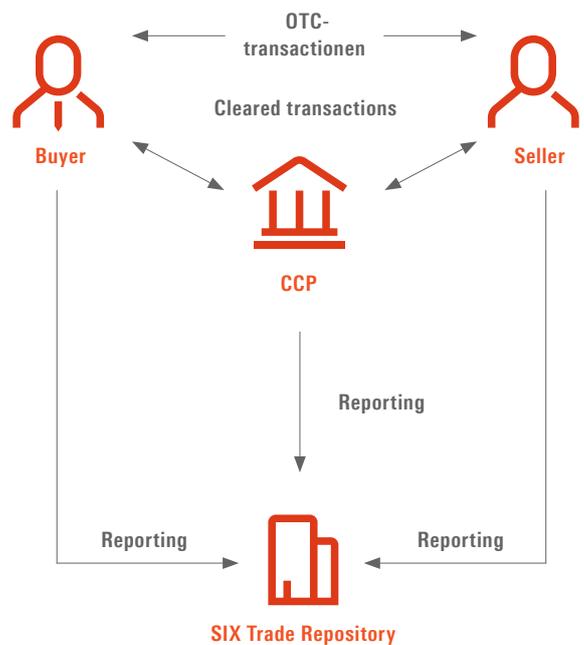
Trade Repository

Trade Repository.
From SIX Securities Services.

– The only Swiss trade repository
– Best-in-class application with Swiss finish
  (UnaVista)
– Data storage and support in Switzerland
– Full price transparency

In response to concerns over systemic risks in over-the-counter (OTC) derivatives markets, the Group of Twenty (G20) in 2009 launched a reform program that led to the new Swiss 'Financial Market Infrastructure Act' (FMIA) regulation. Based on this regulation, Swiss market participants are obliged to report OTC and exchange-traded derivatives (ETDs) to an authorized trade repository. Our new SIX Trade Repository provides you with the most efficient, compliant and FINMA-authorized solution to fulfil your FMIA reporting obligations across multiple jurisdictions.

Buyer — OTC-transactionen — Seller

Cleared transactions

CCP

Reporting

Reporting   Reporting

SIX Trade Repository

The only trade repository fully based in Switzerland.
SIX Trade Repository is the only FINMA-approved Swiss trade repository that supports market participants in fulfilling their derivative reporting obligations. This status goes along with enhanced security standards and full data storage in Switzerland, which is completely compliant with the typically high Swiss regulatory standards to guarantee data protection at all times.

Leading cross-functional ecosystem.
SIX Trade Repository is based on an award-winning, best-in-class trade repository application. In addition, a strategic partnership with UnaVista, a 100% subsidiary of the London Stock Exchange Group, provides a powerful, tried-and-tested OTC derivative and ETD trade reporting solution for global financial institutions across multiple jurisdictions. Existing clients of UnaVista will also benefit from interoperability between the UnaVista EMIR Trade Repository and SIX Trade Repository, which makes onboarding easier.

Reporting with a 'Swiss Finish'.
SIX Trade Repository offers Swiss-domiciled users a comprehensive, high-quality solution with full price transparency to address their specific needs. To achieve this, SIX has refined UnaVista's best-in-class application, supplementing the regulatory binding dataset with the relevant Swiss-specific business information and complete workflow support. This includes several file and date validations, daily reporting reconciliation, functionally rich compliance, operational monitoring, and reporting management.

The Swiss Value Chain.
As part of SIX and the Swiss Value Chain, SIX Trade Repository complements the existing comprehensive post-trade offering of SIX Securities Services. In addition, as part of SIX, which operates the Swiss financial market infrastructure, users benefit from a stable, reliable business partner, high quality, data security, and regulatory compliance at all times.

Enhanced quality
– High quality from automated processing
– Multiple jurisdiction compliance
– Experienced specialists and support team in Switzerland
– Standardized data formats and delivery

Reduced risk
– Enhanced data security with data storage in Switzerland
– Complete workflow support
– Guaranteed data protection at all times

Complete workflow support
– Functionally rich compliance
– Operational monitoring and reporting management

Scalability
– Effectively scaled to meet changing volumes
– Centralized and consolidated scalable processes
– Fully transparent pricing with no hidden costs

Modularity
– Flexible file input and data upload
– Country-specific reporting to meet obligations across multiple jurisdictions
– Fully flexible architecture

Client Connectivity

– File formats: XML, CSV, Excel
– Protocols: MQSeries, sFTP, secure WEB Portal

Core Services

– FMIA file and data validation
– Swiss Trade Repository status with data storage in Switzerland
– Complete workflow support

Additional Services

– Enhanced security
– EOD reporting reconciliations (daily ACK/NACK, current state, alleged reporting)

Trade Repository

– Core platform adopted from Unavista's state-of-the-art EMIR trade repository
– Fully flexible and modular architecture
– Functionally rich compliance and operational monitoring and reporting management
– Platform futureproofed for further jurisdictions and continuous regulatory change
– Flexibility of input files
– Intuitive user interface

For more information, please contact our Relationship Management team.